



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventor(s) Luca Claudio Gobbi, Thomas Luebbbers, Patrizio Mattei, Robert Narquizian,  
Pierre Charles Wyss

Group:

Serial No. 10/800,991, filed March 15, 2004

Examiner:

For: PYRIDO [2,1-a] ISOQUINOLINE DERIVATIVES

**INFORMATION DISCLOSURE STATEMENT**

Nutley, New Jersey 07110  
June 10, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

None of the documents listed on Form PTO 1449 are being submitted because each document has been made of record in the parent application, Serial No. 10/321,692, filed December 17, 2002, now US Patent No. US 6,727,261 B2.

Consideration of all the documents cited on Form PTO-1449 is requested.

Since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits or within three months of the filing date for the above application, no fee is due.

Serial No. 10/800,991  
Filed: March 15, 2004

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicant(s)

Eileen M. Ebel  
(Reg. No. 37,316)  
340 Kingsland Street  
Nutley, New Jersey 07110  
Telephone: (973) 235-4391  
Telefax: (973) 235-2363

142607



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Sheet	1	of	1
-------	---	----	---

### Complete if Known

Application Number	10/800,991
Filing Date	March 15, 2004
First Name Inventor	Luca Claudio Gobbi
Group Art Unit	
Examiner Name	
Attorney Docket Number	21021 US1

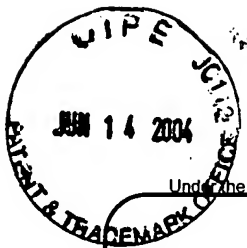
## NON PATENT LITERATURE DOCUMENTS

NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials'	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>		
	C1	J. M. FOX et al., J. Am. Chem. Soc. 2000, Vol. 122 pgs. 1360-1370 (Supporting Information pgs. 2-32)			
	C2	M. KAWATSURA et al., J. Am. Chem. Soc. 1999, Vol. 121, pgs. 1473-1478			
	C3	M. HIROTOSHI, Patent Abstracts of Japan, JP 11 230910, Vol. 1999, No. 13 (1999)	X (C9)		
	C4	D. J. CANNEY, et al., Nucl. Med. Biol., XP002238994, Vol. 22, No. 4, pgs. 527-535 (1995)			
	C5	D. SCHERMAN, et al., Chemical Abstracts XP002238995, Vol. 108, No. 17, pg. 124 (1988)			
	C6	M. F. ISAMERT, J. P. HENRY, Chemical Abstracts XP002238996, Vol. 103, No. 5, pg. 243 (1985)			
	C7	A. BUZAS, et al., Chemical Abstracts XP002238997, Vol. 78, No. 7, pg. 466 (1973)			
	C8	A.R. BATTERSBY, et al., Chemical Abstracts XP002238998, Vol. 54, No. 13, pg. 982 (1960)			
	C9	Translation of the claims of JP Publication No. 11230910	X (C3)		
	C10	ISAMBERT, MARIE-FRANCOISE, et al., Biochemistry, Vol. 28, No. 5, pgs. 2265-2270 (1989)			
	C11	RN 39630-39-2, 39630-42-7 (disclosed in 1972) and 109964-42-3 (disclosed in 1957)			
Examiner Signature			DATE CONSIDERED		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation or abstract is attached.

142623



JFW

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/800,991
Filing Date	March 15, 2004
First Named Inventor	Gobbi
Art Unit	
Examiner Name	
Attorney Docket Number	21021 US1

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Change of Correspondence Address                 | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer                              |  |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> Request for Refund                               |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                        |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <b>Form 1449</b>  |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Eileen M. Ebel
Signature	
Date	June 10, 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Eileen M. Ebel		
Signature		Date	June 10, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.